Atty. Dkt. AMAT/6392.C1/DSM/LOW K/JW

OIPE OCTOR DELLA SOLUTION APP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of:

Xia, et al.

Serial No.: 10/828,441

Confirmation No.: Unknown

Filed:

April 20, 2004

For:

A Method of Depositing Low K Barrier Layers

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Group Art Unit: Unknown

Examiner:

Unknown

CERTIFICATE OF MAILING 37 CFR 1.8

Alexandria, VA 22313-1450.

06/32/3004 Date

Signature

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No.20-0782/6392.C1/BKH.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

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	Application Number	10/828,441	١
ĺ	Filing Date	APRIL 20, 2004	ľ
	First Named Inventor	XIA, ET AL.	ľ
Ì	Group Art Unit	UNKNOWN	l
Ī	Examiner Name	UNKNOWN	l
Ī	Attorney Docket Number	AMAT/6392.C1/DSM/LOW K/JW	ľ
1	Submission Date	<u>U6/30</u> , 2004	r

U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Name of Patentee or	Pages, Columns, Lines, Where			
Initials*	No. ¹	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	A1	US-6,660,663 B1	12/09/2003	Cheung, et al.			
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,	e Relevant Passages or			
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Relevant Figures Appear				
B1 EP 1 107 303 A2		EP 1 107 303 A2	06/13/2001	SHAO, ET AL.					
	B2	WO 99/41423 A2	08/29/1999	CHEUNG, ET AL.					
	В3	DE 199 04 311 A1	08/12/1999	SHU, ET AL.					
	B4	JP 09-008031 (English Abstract)	01/10/1997	Hasegawa		Х			
	B5	EP 1 176 226 A1	01/30/2002	Nemani, et al.					

Examiner Date Considered

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2004

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10/828,441 Application Number Substitute for form 1449A/PTO **APRIL 20, 2004** Filing Date First Named Inventor XIA, ET AL. INFORMATION DISCLOSURE Group Art Unit **UNKNOWN** STATEMENT BY APPLICANT **Examiner Name** UNKNOWN (Use as many sheets as necessary) Attorney Docket Number AMAT/6392.C1/DSM/LOW K/JW 4130

Submission Date

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Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Wh							
Initials*	No.¹	Number-Kind Code ^{2 (il known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	A19	US-6,410,463 B1	06/25/2002	Matsuki			
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B6 W		WO 00/19498 A1	04/06/2000	04/06/2000 Huang					
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	B9								
	B10								

Date Considered

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Substitute	for form 1449A/PTO			Application Number	10/828,441
				Filing Date	APRIL 20, 2004
INFO	RMATION DISC	SI 09	SURF	First Named Inventor	XIA, ET AL.
	TEMENT BY AP			Group Art Unit	UNKNOWN
0171			57 (1 (1	Examiner Name	UNKNOWN
	(Use as many sheets as ned	cessary)	Attorney Docket Number	AMAT/6392.C1/DSM/LOW K/JW
Sheet 3 of 5		5	Submission Date	<u>CC (30</u> , 2004	

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	•A53	US-5,224,441	07/06/1993	Felts, et al.				
_	A54	US-5,011,706	04/30/1991	Tarhay, et al.				

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶				
Initials*	No.'	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document						
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	B13									
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Substitute	for form 1449A/PTO			Application Number	10/828,441
		Filing Date	APRIL 20, 2004		
INFO	RMATION DISC	31 OS	SURF	First Named Inventor	XIA, ET AL.
	TEMENT BY AP			Group Art Unit	UNKNOWN
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	(Use as many sheets as ne	cessary,)	Attorney Docket Number	AMAT/6392.C1/DSM/LOW K/JW
Sheet	4	of	5	Submission Date	<u>-(4/30</u> , 2004

U.S. PATENT DOCUMENTS							
Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where							
Initials*	No. ¹	Number-Kind Code ^{2 (il known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T ⁶			
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	B16								
	B17								
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Substitute for form 1449B/PTO	Application Number Filing Date	10/828,441 APRIL 20, 2004
NFORMATION DISCLOSURE	First Named Inventor	XIA, ET AL.
STATEMENT BY APPLICANT	Group Art Unit	UNKNOWN
	Examiner Name	UNKNOWN
(Use as many sheets as necessary)	Attorney Docket Number	AMAT/6392.C1/DSM/LOW K/JW

Submission Date

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
	C2	PCT International Search Report for PCT US02/36229, dated September 3, 2003 (AMAT/6392.PC)	
	СЗ	Written Opinion for PCT US99/22424, dated April 5, 2001 (AMAT/2966.03.PC)	
	C4	PCT International Search Report for PCT US99/22425, dated February 11, 2000. (AMAT/2966.01.PC)	
	C5	PCT International Search Report for PCT US02/40034, dated May 19, 2003. (AMAT/6084.PC)	<u>.</u>
	C6	DIJKSTRA, ET AL. "Optimization of Anti-Reflection Layers for Deep UV Lithography", Proceedings of SPIE Optica/Laser Microlithography, Bellingham, SPIE, Volume 1674, (1992) pp. 362-375.	
	C7	OMAR, M.A. "Elementary Solid State Physics: Principles and Applications, ", Addison-Wesley Publishing Co. (1975) pp. 124-125.	
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	C13		

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